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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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09/627,232

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Nancy George

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7590

09/13/2006

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EXAMINER

KINDRED, ALFORD W

ART UNIT

PAPER NUMBER

2163

DATE MAILED: 09/13/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

**Office Action Summary**

Application No.

09/627,232

Applicant(s)

GEORGE, NANCY

Examiner

Alford W. Kindred

Art Unit

2163

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 17 January 2006.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-19 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-19 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO/SB/08)  
Paper No(s)/Mail Date \_\_\_\_\_
- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_\_
- 5) ☐ Notice of Informal Patent Application
- 6) ☐ Other: \_\_\_\_\_

### DETAILED ACTION

1. This action is responsive to communication: Reconsideration, filed on 01/17/06.

#### *Claim Rejections - 35 USC § 103*

2. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

3. Claims 1-19 are rejected under 35 U.S.C. 103(a) as being unpatentable over Hall et al., US# 6,138,199, in view of Lederer, JR. et al., US# 2002/0023109 A1, and further in view of Smalley et al., US# 6,067,549.

As per claims 1-2, Hall et al. teaches "editing said gathered compliance data to include organizational data and formatting a portion of said compliance data to create modified compliance data" (see col. 5, lines 32-54, whereas Hall's "rights management" reads applicant's "compliance data") "storing said modified compliance data within data . . . classification scheme relating to compliance data . . ." (see col. 7, lines 4-40) "transferring said modified compliance data from said database . . ." (see col. 18, lines 16-44). Hall et al. does not teach "said compliance data comprising at least one requirement for complying with at least one of standards, regulations and laws." Lederer et al. teaches "said compliance data comprising at least one requirement for complying with at least one of standards, regulations and laws" (see page 3, lines [0041]-[0042]). It would have been obvious at the time of the invention for one of ordinary skill in the art to have combined the teachings of Lederer and Hall, because using the

Art Unit: 2163

steps of “said compliance data comprising at least one requirement for complying with at least one of standards, regulations and laws” would have given those skilled in the art the tools to set specific standards for the use in describing data that must abide by data rules. This give users the advantage of maintaining the integrity level of transferred data in a network environment more efficiently. Hall et al. does not explicitly teach “wherein said at least one requirement for complying with at least one standards, regulations and laws does not control or manage access to said compliance data.” Smalley et al. teaches “wherein said at least one requirement for complying with at least one standards, regulations and laws does not control or manage access to said compliance data” (see abstract and col. 3, lines 27-59). It would have been obvious at the time of the invention for one ordinary skill in the art to have combined the teachings of Smalley with Hall and Lederer above because using the steps of “wherein said at least one requirement for complying with at least one of standards, regulations and laws does not control or manage access to said compliance data” would have given those skilled in the art the ability to process regulatory requirement data along with access control. This give users advantage of managing the processing and accessing of security intensive data more efficiently.

As per claims 3-5, Hall et al. teaches “compliance data . . . user’s preference . . .” (see col. 16-54).

As per claim 6, Hall et al. teaches “monitors user traffic . . .” (see col. 9, lines 39-64).

As per claim 7, Hall et al. teaches “displaying said modified compliance data . . .” (see col. 7, lines 5-24).

As per claim 8, Hall et al. teaches “Government standards . . .” (see col. 4, lines 47-58).

As per claims 9-11, Hall et al. teaches “wherein said database is publicly inaccessible and password . . .” (see col. 4, lines 57-67).

As per claim 12, Hall et al. teaches “uploading compliance data from a remote communication device” (see col. 18, lines 10-43).

As per claims 13-19, these claims are rejected on grounds corresponding to the arguments given above for rejected claims 1-12 and are similarly rejected.

***Response to Arguments***

4. Applicant's arguments filed 1/17/06 have been fully considered but are moot in view of the new grounds of rejection.

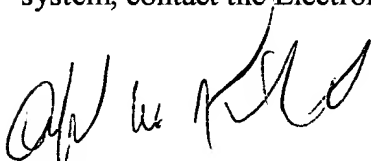
*Conclusion*

5. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Alford W. Kindred whose telephone number is 571-272-4037.

The examiner can normally be reached on Mon-Fri 9:00 am- 5:00 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Don Wong can be reached on (571) 272-1834. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).



Alford W. Kindred  
Patent Examiner  
Tech Ctr. 2100